

SOUTH-WEST UNIVERSITY "NEOFIT RILSKI"

FACULTY OF PHILOLOGY

Department of Germanic and Romance Studies

PhD THESIS

Ana Koceva

PRAGMATIC ASPECTS OF COMPLAINTS, REQUESTS AND APOLOGIES IN THE
ENGLISH AND MACEDONIAN CULTURAL CODE

Research Advisor:

Assoc. Prof. Dafina Kostadinova, PhD

For the award of the scientific and educational degree Doctor of Philosophy

Professional field 2.1 Philology

Blagoevgrad,

2022

Contents

List of abbreviations.....	i
List of tables.....	ii
List of charts.....	iii
List of graphs.....	iii
Declaration of originality.....	iv
Acknowledgements.....	v
Abstract.....	vi
Introduction.....	1
Overview of the structure.....	5

Chapter 1

Literature review

1. Speech act theory.....	6
1.1 The conventional view on speech act theory.....	6
1.2 The intentional view on speech act theory.....	10
1.3 The inferential view on speech act theory.....	13
2. Speech acts' structure and classification.....	19
2.1 Distinctive features.....	19
2.2 Direct and Indirect acts.....	23
2.3 Speech act taxonomies.....	26
2.4 Speech acts and politeness.....	34

2.5 Speech acts and culture.....	38
3. Speech acts in discourse.....	39
4. Cross-cultural research on speech acts.....	42
4.1 Requests.....	45
4.2 Apologies.....	49
4.3. Complaints.....	52
4.4 Communicative competence.....	57

Chapter 2

Methodology

1. Object of the research.....	59
2. Aims of the research.....	59
3. Research questions.....	60
4. Hypotheses.....	61
5. Variables.....	62
6. Methods.....	62
7. Participants.....	63
8. Instruments.....	63
9. Procedure	64
9.1 Designing the DCT (phase 1).....	64
9.2 Interviews and pilot testing (phase 2).....	67
9.3 Data collection (phase 3)).....	67

10. Data Analysis.....	67
10.1 Analysis of strategy types.....	68
10.2 Analysis of speech acts' perspective.....	78
10.3 Analysis of speech acts' modifiers.....	80

Chapter 3

Results and Analysis

1. Quantitative and qualitative analysis.....	86
1.1 Analysis of social parameters.....	86
1.2 Statistical results.....	90
1.3. Analysis of the speech act of requesting.....	94
1.3.1 Applied perspectives in requests.....	94
1.3.2 Distribution of request strategies.....	96
1.3.3 Distribution of request modifiers	102
1.4 Analysis of the speech act of apologizing.....	104
1.4.1 Applied perspectives in apologies.....	105
1.4.2 Distribution of apology strategies.....	106
1.4.3 Distribution of apology modifiers.....	110
1.5 Analysis of the speech act of complaining.....	112
1. 5.1 Applied perspectives in complaints.....	113
1.5.2 Distribution of complaint strategies.....	115
1.5.3 Distribution of complaint modifiers.....	118

Chapter 4

Discussion

1. Requests.....	121
1.1 Perspective of requests.	121
1.2 Strategies used for requesting.....	123
1.3 Modification in requests.....	125
1.4 Similarities vs. differences in requesting.....	126
2. Apologies.....	128
2.1 Perspective of apologies.....	128
2.2 Strategies used for apologizing.....	129
2.3 Modification in apologies.....	131
2.4 Similarities vs. differences in apologizing.....	132
3. Complaints.....	134
3.1 Perspective of complaints.....	135
3.2 Strategies used for complaining.....	136
3.3 Modification in complaints.....	138
3.4 Similarities vs. differences in complaining.....	139
Limitations.....	142
Conclusion.....	144
Implications.....	153
Bibliography.....	156

Appendix I.....172

Appendix II.....173

Appendix III.....174

Appendix IV.....175

Appendix V.....176

Appendix VI.....177

Appendix VII.....228

List of abbreviations

ANOVA – Analysis of variance'

AE – American English

DCT – Discourse Competition Task

EFL – English as a Foreign Language

ESL – English as a Second Language

NMK – North Macedonia / North Macedonian

SA – Speech Acts

SAS – Speech Act Schema

SAT – Speech Act Theory

SPSS - Statistical Package for the Social Sciences

SUA – Socially Unacceptable Act

FTA – Face Threatening Act

L1 – first language/native language

List of tables

Table 1: Communicative and interactional aspects of a request, complaint and an apology.....	21
Table 2: Different categorizations of speech acts.....	27
Table 3: Categorization of speech acts by Searle and Vanderveken (1985).....	30
Table 4: Speech scenarios used in the DCT.....	66
Table 5: Strategies used to express a request.....	68
Table 6: Strategies used to express an apology.....	73
Table 7: Strategies used to express a complaint.....	77
Table 8: Categories of modifiers in speech acts.....	81
Table 9: Frequencies of sample location.....	87
Table 10: Frequencies of sample by mother tongue.....	87
Table 11: Statistics of sample by age.....	88
Table 12: Frequencies of sample by sex.....	89
Table 13: Frequencies of sample by occupation.....	89
Table 14: Difference of averages in the pragmatic structure.....	90
Table 15: Significance for Difference of averages in the pragmatic structure in the Macedonian and American cultural code – ANOVA.....	91
Table 16: Multiple Comparisons.....	91
Table 17: Difference of averages in the pragmatic structure of requests, complaints and apology	92

Table 18: Significance for Difference of averages in the pragmatic structure of requests, complaints and apology in the Macedonian and American cultural code – ANOVA.....	93
Table 19: Strategies applied in the speech act of requesting.....	98
Table 20: Degree of application of apology strategies.....	108
Table 21: Degree of distribution of complaint strategies.....	116

List of charts

Chart 1: Perspective applied in requests.....	96
Chart 2: Types of request categories.....	97
Chart 3: Most frequent request strategies used by American respondents.....	101
Chart 4: Most frequent request strategies used by NMK respondents.....	101
Chart 5: Modifiers' distribution in speech act of requesting.....	103
Chart 6: Overall distribution of modifiers.....	103
Chart 7: Perspective types in apologies.....	105
Chart 8: Categories of applied apologies.....	106
Chart 9: Distribution of modifiers in the speech act of apologising.....	111
Chart 10: Overall distribution of modifiers in apologies.....	112
Chart 11: Perspective types applied in complaints.....	114
Chart 12: Categories of complaints.....	115
Chart 13: Overall distribution of modifiers in complaints.....	118
Chart 14: Most frequent modifiers in complaints.....	119

List of graphs

Graph 1: Distribution of the sample by age.....	88
Graph 2: Difference of averages in the pragmatic structure.....	92

DECLARATION OF ORIGINALITY

I hereby declare that I am the sole author of this thesis. This is to certify that the dissertation I have submitted is an original research work. I further certify that I have not used any material or literature that infringes copyright. All mentioned material from the work of other people has been fully referenced and acknowledged.

Signed:

Date:

ACKNOWLEDGEMENTS

I would like to express my sincere gratitude to my mentor Assoc. Prof. Dafina Kostadinova, PhD, for her advice and insightful comments on my thesis and her continuous support, professional guidance and encouragement during the whole process.

Beside my mentor, I would like to thank my colleague Sadudin Sadiki for his valuable instructions in the field of statistics and the introduction to the SPSS system, which was an essential part of the quantitative analysis of my research.

I must acknowledge the help of my cousin David Markov, who as a student at the University of California San Diego, helped in the realization of the survey. Also, I am thankful to all of the participants who voluntarily took the time and effort to complete the survey.

Last but not least, I am grateful to my family for all the support throughout this educational process.

Abstract

Successful intercultural communication is conditioned by interlocutors' pragmatic knowledge. The appropriate use of language is shaped by the sociocultural and contextual factors of a speech situation. Since speech acts are constant and inseparable part of people's daily communication, their successful application depends on the interlocutors' knowledge of the pragmatic use of speech acts. The acts of requesting, apologizing and complaining are essential acts of communication that have a great influence over the social relations between the interlocutors and on the overall communication process between them. I have to acknowledge the numerable research papers on speech acts and speech act theory worldwide. However, the research on speech acts in the Republic of North Macedonia encompasses the last decade and does not offer large numbers nor variable aspects of analysis. Therefore, the aim of my thesis is to offer a contemporary and complex study on speech acts, which are analysed as situation-based acts from various aspects.

The object of my thesis is the pragmatic form of these three speech acts in the American and Macedonian cultural codes. The study was conducted through a quantitative and a qualitative method of analysis in terms of the perspectives and strategies used to express the speech acts, as well as the modification applied within those speech acts. The study has proved that there are differences in the pragmatic structure of requests in relation to the types and frequencies of the used strategies and modifiers; also, it has shown a partial difference in the acts of apologizing and complaining in terms of the applied modifiers. Furthermore, the study has confirmed the variable influence of social parameters over the interlocutors' speech as a result of the cultural differences.

The findings of the study represent valuable resources for learners and teachers of EFL, then practical and up to date corpora of the American and Macedonian cultural code and most importantly a proof of the complex pragmatic structure of speech acts as a set of multiple acts that are socially, contextually and culturally conditioned.